



**PATENT**  
(Docket No. IN-9518)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Luis VARELA DE LA ROSA et al.

Serial No.: 10/092,679

Filed: March 7, 2002

For: High Solids Dispersion for Wide Temperature  
Pressure Sensitive Adhesive Applications

Group Art Unit: 1713

Examiner: Robert D. Harlan

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER193000459US addressed to Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below.

9-7-04  
Date

Michael Morgan

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g) ), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

**COPIES PROVIDED:**

☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [ ], filed on [ ]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

**FOREIGN LANGUAGE DOCUMENTS**

☒ There are no foreign language documents listed on Form PTO-1449.

US 6,147,165 is the US equivalent to WO98/44064, US 6,251,213 is the US equivalent to EP590886, US 3,697,618 is the US equivalent to FR1560639, and US 4,316,830 is the US equivalent to GB2070037.

**CERTIFICATION:**


- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Reg. No.: **42,906**

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Customer No. 26922

  
SIGNATURE OF ATTORNEY and DATE  
**Michael F. Morgan September 7, 2004**  
Type or print name of attorney  
**BASF Corporation**  
**26701 Telegraph Road**  
P.O. Address  
**Southfield, Michigan 48034-2442**

FORM PTO-1449  
(MODIFIED)US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

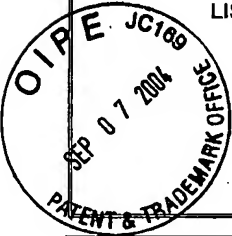
Atty. Docket No. IN-9518

LIST OF ART CITED BY APPLICANT  
(Use sever of sheets if necessary)

In re Application of: Luis VARELA DE LA ROSA et al.

Serial No.: 10/092,679

Filed: March 7, 2002



## U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2004/0097638	05/20/04	Centner et al.			07/02/03
	AB	3,547,852	12/15/70	Burke			07/05/66
	AC	3,697,618	10/10/72	Grunewalder et al.			12/30/69
	AD	3,787,259	01/22/74	Kleinfeld			11/10/71
	AE	3,983,297	09/28/76	Ono et al.			10/24/74
	AF	4,316,830	02/23/82	Mallon			02/19/80
	AG	6,214,931	04/10/01	Segers et al.			04/21/99
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	Ep 454426A2	30.10.91	EP				
	AM	WO94/14891	07.07.94	WO				
	AN							
	AO							
	AP							

## OTHER ART (Including Author, Title, Date; Pertinent Pages, Etc.)

	AQ	

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant has modified this form by deleting the word "prior".